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Crack-free thick AlGaIn grown on sapphire using AlN/AlGaIn superlattices for strain management

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We report on an AlN/AlGaIn superlattice approach to grow high-Al-content thick n^+ -AlGaIn layers over c -plane sapphire substrates. Insertion of a set of AlN/AlGaIn superlattices is shown to significantly reduce the biaxial tensile strain, thereby resulting in 3- μm -thick, crack-free $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ layers. These high-quality, low-sheet-resistive layers are of key importance to avoid current crowding in quaternary AlInGaIn multiple-quantum-well deep-ultraviolet light-emitting diodes over sapphire substrates. © 2002 American Institute of Physics. [DOI: 10.1063/1.1477620]

Deep-ultraviolet (UV) light-emitting diodes (LEDs) and laser diodes are key to applications such as solid-state white-light, biochemical detection and lithography. Recently, several groups have reported on LEDs with emission wavelengths from 340 to 360 nm using AlGaIn layers in the active region.^{1–3} Alternatively, we have reported on deep-UV LEDs on sapphire with emission wavelengths from 305 to 340 nm with a quaternary AlInGaIn multiple-quantum-well (MQW) active region.^{4–6} We used a unique pulsed atomic-layer epitaxy procedure to deposit the AlInGaIn MQWs for the active region.^{6,7} In our design the LED structure was grown over the sapphire substrate. This choice, compared to the SiC substrate, allows for much higher light extraction efficiency, which is of crucial importance for deep-UV devices. The total emitted powers and the differential quantum efficiencies were found to decrease with decreasing emission wavelength.⁵ Some of the key reasons for this performance degradation with wavelength were the thickness, doping level, and the quality of the high-Al-content n^+ -AlGaIn cladding layers,^{8,9} which are responsible for the quality of the above-lying MQW layers as well as for the lateral current spreading in the device. The use of a thin AlGaIn cladding layer combined with lateral device geometries led to a severe current crowding.¹⁰ To circumvent these problems, crack-free, thick ($\geq 3 \mu\text{m}$) AlGaIn layers are required.¹⁰ Several groups reported on different approaches to grow thick AlGaIn layers over GaN buffers.^{11,12} However, the use of GaN layers drastically decreases the light extraction efficiency in deep-UV emitters due to strong absorption. We now report an approach of using a set of AlN/AlGaIn superlattices (SLs) to reduce the biaxial tensile strain and successfully grow 3.0- μm -thick $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ on sapphire without any cracks. Scanning electron microscopy (SEM), photoluminescence (PL), x-ray diffraction (XRD), and transmission spectrum were used to investigate the effect of the SL strain engineering on the quality of the resulting thick AlGaIn layer. While this letter was under preparation, Feltin *et al.* reported

a similar AlN/GaN superlattice insertion approach to control cracking in thick GaN layers grown over Si(111) substrates.¹³

The AlGaIn layers for this study were deposited on basal plane sapphire substrates using low-pressure metalorganic chemical-vapor deposition. Trimethyl aluminum, trimethylgallium, and NH_3 were used as the precursors. Three sets of $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ samples were deposited, differing only in the buffer layer configuration. Samples in set A had a 250- \AA -thick low-temperature (LT) AlN buffer prior to the $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ layers and are referred to as LT samples. Samples in set B have an additional high-temperature (HT) grown 40-nm-thick AlN intermediate layer in between the LT-AlN buffer and the $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ epilayers. We will refer this set B as the HT samples. Samples in set C are referred to as the SL samples. For set C, first a 250 \AA LT-AlN buffer was deposited on sapphire, followed by a 0.2- μm -thick HT-grown $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$, and then a ten-period AlN/ $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ SL. Finally, $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ layers with thickness ranging from 1 to 3 μm were grown. For all these samples, the LT buffers and HT epilayers' growth temperatures were 600 and 1060 $^\circ\text{C}$, respectively. The growth pressure was 40 Torr and the V/III ratio 550. For the n -type doping study, disilane was used as the dopant.

Figure 1 shows the SEM images of the samples under investigation. For the LT and HT samples, we always observe cracks when the top $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ exceeds 1.2 μm [Figs. 1(a) and 1(b)]. However, the HT sample showed some reduction in the crack density. For the SL sample, no cracks were observed for the $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ layer even as thick as 3.0 μm [Fig. 1(c)]. Shown in Fig. 1(d) is the SEM cross-section view of the SL sample, where the strain-engineering SL structure is clearly seen. From the enlarged view we find the total thickness of the SL region to be around 320 nm, implying a SL period of 32 nm. We also used cathodoluminescence mappings to estimate the material uniformity. The result indicates that the SL sample is more homogeneous than the LT and HT samples.

Figure 2 shows the room-temperature (RT) PL and transmission spectra of the 3- μm -thick SL sample. The PL spectra were measured at RT using a pulsed excimer laser ($\lambda = 193 \text{ nm}$, $\tau = 8 \text{ ns}$), with an average excitation power den-

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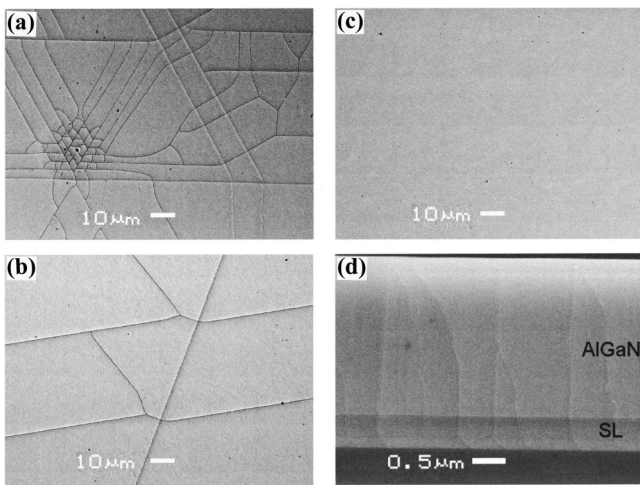


FIG. 1. Plane-view SEM images (backscattering mode) of the LT sample (a), HT sample (b), and the 3.0 μm SL sample (c). Cross-section view of the SL sample (d).

sity of 0.2 MW/cm². As seen, the very small Stokes shift between the PL and transmission spectra indicates the high quality of the SL sample. This is also confirmed by the small RT PL linewidth (6 nm). In the inset to Fig. 2 we compare the RT PL of the LT, HT, and SL samples of different thicknesses with the same Al mole fraction. As seen, the PL spectra of the LT and HT samples show a significant redshift as compared to the SL samples, implying a biaxial tensile strain existing in the LT and HT samples. This can explain the observed cracking in LT and HT samples as their thickness exceeds about 1 μm. Also, we can see that the PL in the SL samples shows a slight redshift with increasing thickness. This shift we believe is due to strain modification as the top AlGaIn gets thick. The redshift, however, saturates when the total thickness is over 2.2 μm. We note that the PL intensity of the SL samples increases with thickness. Since the PL spectra were measured using excimer laser excitation with extremely high absorption coefficient, this intensity increase indicates the material quality improvement.

XRD rocking-curve measurements indicate that the structural quality of the SL samples are much better than that of the LT and HT samples. The full width at half maximum

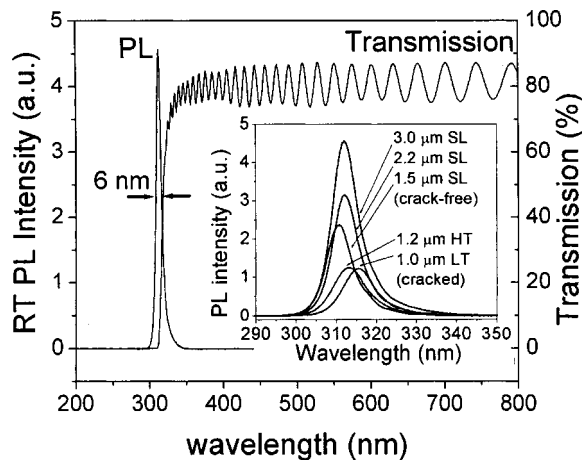


FIG. 2. Transmission and PL spectra of the 3.0 μm SL sample. The inset shows RT PL spectra of the LT, HT, and SL samples with different thicknesses of the top Al_{0.2}Ga_{0.8}N layer.

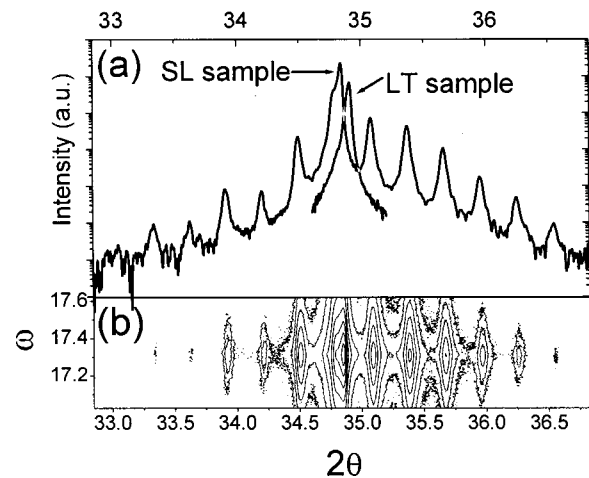


FIG. 3. (0002) 2θ-ω scans of the 3.0 μm SL and LT sample (a); (0002) mapping of the 3.0 μm SL sample (b).

values of the (0002) ω scans for 1.5 μm SL and LT/HT samples are 6 and 16 arcmin, respectively. For the asymmetrical (20–24) ω scans, these values are, respectively, 11.5 and 38 arcmin. Figure 3(a) shows the (0002) 2θ-ω scans of the 3.0 μm SL and the LT samples. As seen, for the SL sample, up to 12 satellite peaks are clearly resolved. The strongest peak in Fig. 3(a) is attributed to the top thick Al_{0.2}Ga_{0.8}N epilayers; its low-angle shoulder is the superlattice zeroth-order peak, reflecting the SL average composition and overall strain. The average Al mole fraction (~40%) in the AlN/Al_{0.2}Ga_{0.8}N SL is larger than that in the top AlGaIn epilayer (20%), therefore, the SL zeroth-order peak appearing to the lower-angle position indicates that the SL structure is under a stronger biaxial compression as compared to the top AlGaIn layer. This will be confirmed in the following analysis. From the angular distance between the neighboring satellite peaks, the average period of the SL is again confirmed to be 32 nm. Moreover, for the same Al fraction, the LT sample is under more biaxial tensile strain than the SL sample, as inferred from its higher angular peak position. Thus, these XRD data confirm the PL data in the inset of Fig. 2. Our data clearly show that the effect of insertion of the AlN/AlGaIn SL is to reduce the biaxial tensile strain of the top AlGaIn layer. Figure 3(b) shows the symmetrical mapping around the (0002) reflection for the SL sample used in Fig. 3(a). As seen, the SL satellite peaks lie in the same line direction relative to the top Al_{0.2}Ga_{0.8}N surface normal, confirming that the top Al_{0.2}Ga_{0.8}N had grown on axis to the AlN/AlGaIn SL strain-engineering layer.

More-detailed strain status in the SL sample can be extracted from the asymmetrical XRD mapping. In Fig. 4 we show the (20–24) asymmetrical XRD mapping for the 3.0 μm SL sample. The top thick Al_{0.2}Ga_{0.8}N reciprocal lattice point is not in vertical Q_x alignment with the SL satellites, indicating that it was not pseudomorphically grown on the strain-engineering SL, it is partly relaxed. Since the SL zeroth-order peak merges into the high-angle tail of the top Al_{0.2}Ga_{0.8}N peak, to estimate the lattice constants, we take the angle in the middle of the ±1 satellite peaks as the zeroth-order position. Therefore, from Figs. 3 and 4, for the top Al_{0.2}Ga_{0.8}N epilayer of the SL sample, *a* = 3.1590 Å and *c* = 5.1461 Å; for the SL strain-engineering structure, the av-

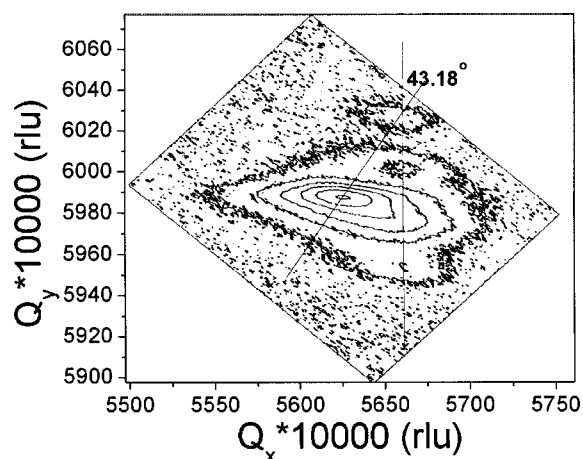


FIG. 4. (20–24) mapping of the 3.0 μm SL sample in reciprocal space.

average lattice constants are $a=3.1482 \text{ \AA}$ and $c=5.1518 \text{ \AA}$; and for the $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ of the LT sample, $c=5.1362 \text{ \AA}$. According to Ref. 14, for free-standing $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$, $a=3.1736 \text{ \AA}$ and $c=5.1382 \text{ \AA}$. We can see that although most of the strain was relaxed by the cracks, the LT sample is still under biaxial tensile strain, while the SL sample is overall under biaxial compressive strain. The SL itself experiences a higher biaxial compression than the top $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ epilayer. Thus, biaxial compression was partly transferred from the strain-engineering SL to the top $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ epilayer.

The cracks are caused by biaxial tensile strain. Usually, strain can be released by the creation of misfit dislocations. In III nitrides, because of the absence of an effective slip system,¹⁵ dislocations are difficult to generate and glide. On the other hand, the development of biaxial tensile strain in AlGaN grown on c -face sapphire is not well understood. From the thermal expansion coefficient and lattice constant mismatch, GaN grown on c -face sapphire will be under biaxial compression, as being observed in most of the experiments. However, experimentally, the strain status of AlGaN over sapphire is quite complicated. Krost *et al.*¹⁶ observed that $\text{Al}_x\text{Ga}_{1-x}\text{N}$ ($x<0.4$) grown on sapphire tends to settle the in-plane lattice constant according to $a_{\text{GaN}}:a_{\text{sapphire}}=2:3$. Therefore, for Al fraction $x<0.18$, the $\text{Al}_x\text{Ga}_{1-x}\text{N}$ on c -face sapphire is under biaxial tension; for $0.18<x<0.25$, $\text{Al}_x\text{Ga}_{1-x}\text{N}$ is nearly strain free; for $0.25<x<0.4$, $\text{Al}_x\text{Ga}_{1-x}\text{N}$ is under biaxial compression. Our observations do not fully agree with this. The LT/HT samples used in this study all have an Al fraction of 20%, but they do not show the strain-free condition. On the contrary, they are under strong biaxial tension. For the strain-engineering SL, the average Al fraction is close to 40%. From our XRD data this SL structure is under biaxial compressive strain, which is in accordance with the results of Ref. 16. Therefore, the SL structure transfers the biaxial compressive strain to the top $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ epilayer, preventing it from cracking.

Finally, the electrical properties of the Si-doped SL sample were measured. From on-wafer Hall measurements, a RT mobility of $130 \text{ cm}^2/\text{Vs}$ was obtained for a doping level of $2.5 \times 10^{18} \text{ cm}^{-3}$. These values are very close to those measured for n -doped GaN layers on sapphire.¹⁷ In contrast, the RT mobility for the LT/HT samples was around $30\text{--}60 \text{ cm}^2/\text{Vs}$. This clearly establishes a superior electrical quality for the AlGaN layer resulting from the SL insertion procedure. We also note that based on the SL samples, recently we demonstrated milliwatt deep-UV LEDs operating at 326 nm (2 mW at 160 mA pulsed current). These LEDs showed stable operation and a negligible current crowding effect.

In conclusion, we studied the effect of AlN/AlGaN SLs on the growth of thick AlGaN layers on sapphire. With the conventional LT-AlN buffer AlGaN grown on sapphire suffers from strong biaxial tension, which inevitably causes cracks as the thickness increases. An insertion of the AlN/AlGaN SL structure reduces the tensile strain, and can even convert it from tensile to compressive. XRD mapping data were used to analyze the strain modified by the SL strain-engineering layer. This strain management allowed us to grow a 3- μm -thick, crack-free $\text{Al}_{0.2}\text{Ga}_{0.8}\text{N}$ layer over sapphire substrates. The use of these thick n^+ -AlGaN layers as buffer layers for deep-UV LEDs can significantly reduce current crowding and improve the quality of the active MQW region.

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