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# SUBSPACES OF $\omega_\omega$ THAT ARE PARACOMPACT IN SOME FORCING EXTENSION

AKIRA IWASA

ABSTRACT. We discuss when a subspace of  $\omega_\omega$  is paracompact in some forcing extension.

## 1. INTRODUCTION

Engelking and Lutzer proved in [?] that for a linearly ordered space  $X$ , the following are equivalent:

- (1)  $X$  is paracompact.
- (2)  $X$  does not contain a closed copy of a stationary subset of an uncountable regular cardinal.

Motivated by this theorem, we are interested in the problem that for a set of ordinals  $X$ , find a property  $\varphi$  so that the following are equivalent:

- (1\*)  $X$  is paracompact in some forcing extension where the cardinality of  $X$  is preserved.
- (2\*)  $X$  has a property  $\varphi$ .

We study three cases:

- Case 1.  $X \subseteq \omega_1$ . (Theorem ??)
- Case 2.  $X \subseteq \omega_n$  for some  $n \in \omega$ . (Theorem ??)
- Case 3.  $X \subseteq \omega_\omega$ . (Theorem ??)

Case 1 is a simple theorem; we were not able to find a property  $\varphi$  for Case 2 and Case 3, but found a sufficient condition for (2\*) to imply (1\*). (There is substantial difficulty finding such a condition  $\varphi$  for Case 2 and Case 3. See Remark ??.) The main idea in this paper is to make a non-paracompact subspace of an ordinal in the ground model paracompact in forcing extension. The author obtained this idea from [?, Theorem 1.7].

*Notations* 1.1. For a regular uncountable cardinal  $\kappa$ , let

$$\mathcal{S}(\kappa) = \{S \subseteq \kappa : S \text{ is a stationary subset of } \kappa\}.$$

For each limit ordinal  $\alpha$ , we fix a monotonically increasing continuous map

$$f_\alpha : cf(\alpha) \rightarrow \alpha$$

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cofinal in  $\alpha$ . Note that for  $\alpha$  with  $cf(\alpha) > \omega$ ,  $X \cap \alpha$  contains a copy of a stationary subset of  $cf(\alpha)$  iff  $f_\alpha^{-1}[X \cap \alpha]$  is a stationary subset of  $cf(\alpha)$ . For a set of ordinals  $X$ , we write  $f_\alpha^{-1}[X]$  instead of  $f_\alpha^{-1}[X \cap \alpha]$  even if  $X$  is not a subset of  $\alpha$ .

For a set  $A$  and a cardinal  $\kappa$ , let  $[A]^\kappa = \{B \subseteq A : |B| = \kappa\}$ , and  $[A]^{<\kappa} = \{B \subseteq A : |B| < \kappa\}$ .

Fix a regular cardinal  $\lambda$  such that  $\lambda \gg \aleph_\omega$  and let  $H_\lambda$  be the collection of all sets of cardinality hereditarily less than  $\lambda$ .

Using these notations, we can restate the theorem of Engelking and Lutzer mentioned earlier in the case where  $X$  is a set of ordinals:

**Theorem 1.2.** [?, Theorem 2.3] *Let  $\theta$  be an ordinal and  $X \subseteq \theta$ . The following are equivalent:*

- (1)  $X$  is paracompact.
- (2) For each  $\alpha \in \theta + 1 \setminus X$  with  $cf(\alpha) > \omega$ ,  $f_\alpha^{-1}[X] \notin \mathcal{S}(cf(\alpha))$ .

*In particular, for  $X \subseteq \omega_1$ , the following are equivalent:*

- (1')  $X$  is paracompact.
- (2')  $X$  is not a stationary subset of  $\omega_1$ .

**The poset  $CU(S)$ .**

Let us define a notion of forcing which we use throughout this paper. We say  $S$  is a *fat* stationary subset of an uncountable regular cardinal  $\kappa$  iff for every closed unbounded (club) subset  $C$  of  $\kappa$ ,  $C \cap S$  contains closed subsets of any order type less than  $\kappa$  [?, p.644]. Note that every stationary subset of  $\omega_1$  is fat [?]. For a fat stationary subset  $S$  of a regular uncountable cardinal  $\kappa$ , we define the partially ordered set

$$CU(S) = \{p \subseteq S : |p| < \kappa \text{ and } p \text{ is a closed subset of } \kappa\},$$

ordered by end-extension. Due to the result by Abraham and Shelah, we have the following (they proved a more general case than that in Theorem ??; the case where  $n = 1$  was proved by Baumgartner, Malitz, and Reinhardt [?]):

**Theorem 1.3.** [?, Theorem 1] *If  $S$  is a fat stationary subset of  $\omega_n$  and  $\aleph_{n-1}^{<\aleph_{n-1}} = \aleph_{n-1}$ , then the following are true:*

- (1) *Forcing with  $CU(S)$  adds a club subset  $C$  of  $\omega_n$  such that  $C \subseteq S$ ;*
- (2) *Forcing with  $CU(S)$  does not add new subsets of size  $< \aleph_n$  (so it preserves the cardinals  $\leq \aleph_n$ );*
- (3) *If  $\aleph_n^{<\aleph_n} = \aleph_n$ , then forcing with  $CU(S)$  preserves the cardinals  $> \aleph_n$ .*

## 2. CASE WHERE $X \subseteq \omega_1$

Let us consider the case where  $X \subseteq \omega_1$  first. Here is a technical lemma.

**Lemma 2.1.** *Suppose that  $\kappa$  is an uncountable regular cardinal and forcing with  $\mathbb{P}$  preserves the cofinality of  $\kappa$ . If, in  $\mathbf{V}^\mathbb{P}$ , a set  $A$  contains a club subset of  $\kappa$ , then, in  $\mathbf{V}$ ,  $A$  is a stationary subset of  $\kappa$ .*

*Proof.* Assume on the contrary that, in  $\mathbf{V}$ ,  $A$  is not a stationary subset of  $\kappa$ . Then  $\kappa \setminus A$  contains a club subset, say  $C$ , of  $\kappa$ . In  $\mathbf{V}^\mathbb{P}$ ,  $C$  and a club subset contained in  $A$  would be disjoint club subsets of an uncountable regular cardinal  $\kappa$ , which is a contradiction.  $\square$

**Theorem 2.2.** *For  $X \subseteq \omega_1$ , the following are equivalent:*

- (1)  *$X$  is paracompact in some forcing extension in which  $\omega_1$  is preserved.*
- (2)  *$X$  is a co-stationary subset of  $\omega_1$ ; that is,  $\omega_1 \setminus X \in \mathcal{S}(\omega_1)$ .*

*Proof.* (1)  $\implies$  (2): Suppose  $X$  is paracompact in  $\mathbf{V}^\mathbb{P}$  for some notion of forcing  $\mathbb{P}$ . By Theorem ??, in  $\mathbf{V}^\mathbb{P}$ ,  $\omega_1 \setminus X$  contains a club subset of  $\omega_1$ . By Lemma ??, in  $\mathbf{V}$ ,  $\omega_1 \setminus X \in \mathcal{S}(\omega_1)$ .

(2)  $\implies$  (1): Suppose that  $\omega_1 \setminus X \in \mathcal{S}(\omega_1)$ . Let  $\mathbb{P} = CU(\omega_1 \setminus X)$ ; then forcing with  $\mathbb{P}$  preserves  $\omega_1$  and adds a club subset  $C$  of  $\omega_1$  contained in  $\omega_1 \setminus X$ , rendering  $X$  non-stationary. Therefore,  $X$  is paracompact in  $\mathbf{V}^\mathbb{P}$  by Theorem ??.  $\square$

### 3. CASE WHERE $X \subseteq \omega_n$

In this section, we let  $X$  be a subspace of  $\omega_n$  and, assuming GCH, find a sufficient condition for  $X$  to be paracompact in some cardinal-preserving forcing extension.

**Theorem 3.1.** *Assume GCH. Let  $X \subseteq \omega_n$  for some  $n \geq 1$ . Suppose that for each  $i$  with  $1 \leq i \leq n$ , there exists a fat stationary subset  $S_i$  of  $\omega_i$  such that for each  $\alpha \in \omega_n + 1 \setminus X$  with  $cf(\alpha) = \omega_i$ ,  $S_i \cap f_\alpha^{-1}[X] \notin \mathcal{S}(\omega_i)$ . Then  $X$  is paracompact in some cardinal-preserving forcing extension.*

*Proof.* For  $i$  with  $1 \leq i \leq n$ , we let

$$\mathbb{P}(i) = CU(S_n) \times CU(S_{n-1}) \times \cdots \times CU(S_i).$$

*Claim 3.2.*  $\mathbb{P}(1)$  is cardinal-preserving.

*Proof of Claim ??.* We will show by (downward) induction that for each  $i$  with  $1 \leq i \leq n$ ,

- (1) forcing with  $\mathbb{P}(i)$  does not add new subsets of size  $< \aleph_i$  (so it preserves the cardinals  $\leq \aleph_i$ ), and
- (2) forcing with  $\mathbb{P}(i)$  preserves the cardinals  $> \aleph_i$ .

Since  $\aleph_{n-1}^{<\aleph_{n-1}} = \aleph_{n-1}$  and  $\aleph_n^{<\aleph_n} = \aleph_n$  (by GCH), forcing with  $\mathbb{P}(n)(= CU(S_n))$  does not add new subsets of cardinality  $< \aleph_n$  and preserves cardinals  $> \aleph_n$  by Theorem ??.

Assume that  $\mathbb{P}(i+1)$  satisfies (1) and (2), not adding new subsets of size  $< \aleph_{i+1}$  and preserving the cardinals  $> \aleph_{i+1}$ . We shall show that  $\mathbb{P}(i)$  satisfies (1) and (2). We have  $\mathbf{V}^{\mathbb{P}(i+1)} \models (\aleph_{i-1}^{<\aleph_{i-1}} = \aleph_{i-1} \text{ and } \aleph_i^{<\aleph_i} = \aleph_i)$ . Therefore,  $\mathbf{V}^{\mathbb{P}(i+1)} \models$  (forcing with  $CU(S_i)$  does not add new subsets of size  $< \aleph_i$  and preserves cardinals  $> \aleph_i$ ). Let  $\dot{\mathbb{Q}}$  be a  $\mathbb{P}(i+1)$ -name for  $CU(S_i)$  constructed in  $\mathbf{V}^{\mathbb{P}(i+1)}$ . Then  $\mathbb{P}(i+1) * \dot{\mathbb{Q}}$  does not add new subsets of size

$< \aleph_i$  and preserves cardinals  $> \aleph_i$ . Since  $CU(S_i)$  is a subset of the power set of  $\omega_i$  and forcing with  $\mathbb{P}(i+1)$  does not add new subsets of size  $\leq \aleph_i$ , we actually have that  $(CU(S_i))^{\mathbf{V}^{\mathbb{P}(i+1)}} = (CU(S_i))^{\mathbf{V}}$ . Therefore,  $\mathbb{P}(i+1) * \dot{\mathbb{Q}}$  and  $\mathbb{P}(i+1) \times CU(S_i) (= \mathbb{P}(i))$  produce the same generic extension. Thus,  $\mathbb{P}(i)$  satisfies (1) and (2).  $\square$  (Claim ??)

To show that  $X$  is paracompact in  $\mathbf{V}^{\mathbb{P}(1)}$ , fix  $\alpha \in \omega_n + 1 \setminus X$  such that  $cf(\alpha) = \omega_k$  for some  $k \leq n$ . We need to show that  $\mathbf{V}^{\mathbb{P}(1)} \models f_\alpha^{-1}[X] \notin \mathcal{S}(\omega_k)$ .

Working in  $\mathbf{V}^{\mathbb{P}(k+1)}$  (in  $\mathbf{V}$  if  $k = n$ ), we have  $\aleph_{k-1}^{<\aleph_{k-1}} = \aleph_{k-1}$  and so forcing with  $CU(S_k)$  adds a club subset of  $\omega_k$  through  $S_k$ . Since  $\mathbb{P}(k) = \mathbb{P}(k+1) \times CU(S_k)$ , we have  $\mathbf{V}^{\mathbb{P}(k)} \models (S_k \text{ contains a club subset of } \omega_k)$ . In  $\mathbf{V}^{\mathbb{P}(1)}$ ,  $S_k$  remains a club subset of  $\omega_k$  and it is still true that  $S_k \cap f_\alpha^{-1}[X] \notin \mathcal{S}(\omega_k)$  (“non-stationary” is preserved by any forcing), which implies that  $f_\alpha^{-1}[X] \notin \mathcal{S}(\omega_k)$ .  $\square$

*Remark 3.3.* Abraham and Shelah gave an example of forcing which adds a club subset of  $\omega_2$  through a non-fat stationary set [?, Theorem 5]. So  $S_n$  being a fat stationary subset of  $\omega_n$  in Theorem ?? is not a necessary condition for  $S_n$  to contain a club subset of  $\omega_n$  in forcing extension. However, Stanley showed in [?] that there is no satisfactory first-order characterization of those subsets of  $\omega_2$  that have club subsets in an outer model in which  $\omega_1$  and  $\omega_2$  are preserved.

#### 4. CASE WHERE $X \subseteq \omega_\omega$

In this section we consider the case where  $X \subseteq \omega_\omega$ . To make  $X$  paracompact, we would need to force with  $CU(S_n)$  for every  $n \geq 1$ , where  $S_n \in \mathcal{S}(\omega_n)$ . The following lemma assures us that we can do so. The point is that for this iteration to work,  $S_n$ ’s have to be lined up nicely so that the set (??) in Lemma ?? is stationary in  $[H_\lambda]^{\aleph_k}$ . The poset  $\mathbb{P}_\omega$  defined in Lemma ?? is essentially the one defined by Stanley in [?, p. 372].

**Lemma 4.1.** *Assume GCH. Suppose that  $\{S_n : n \geq 1\}$  is a sequence of sets such that:*

- $S_n$  is a fat stationary subset of  $\omega_n$  for each  $n \geq 1$ ;
- For  $\alpha \in S_n$  such that  $cf(\alpha) = \omega_k$  for some  $k \geq 1$  and  $\alpha$  is a limit point of  $S_n$ ,  $f_\alpha^{-1}[S_n]$  contains a club subset of  $\omega_k$ ;
- For each  $k \in \omega$ ,

$$(4.1) \quad \{x \in [H_\lambda]^{\aleph_k} : (\forall n > k) \sup(x \cap \omega_n) \in S_n\}$$

is a stationary subset of  $[H_\lambda]^{\aleph_k}$ .

Let  $\mathbb{P}_\omega = \prod_{n \geq 1} CU(S_n)$ . Then

- (1)  $\mathbb{P}_\omega$  is cardinal-preserving, and
- (2) In  $\mathbf{V}^{\mathbb{P}_\omega}$ ,  $S_n$  contains a club subset of  $\omega_n$  for each  $n \geq 1$ .

*Proof.* Let us show (1); (2) follows from the definition of  $\mathbb{P}_\omega$ . Let

$$\mathbb{P}_k = \prod_{1 \leq n \leq k} CU(S_n)$$

and

$$\mathbb{P}^{k+1} = \Pi_{k+1 \leq n < \omega} CU(S_n).$$

*Claim 4.2.*  $\mathbb{P}^{k+1}$  is  $\omega_k$ -distributive (the intersection of  $\omega_k$  many dense open subsets of  $\mathbb{P}^{k+1}$  is dense).

Assuming that the claim is true, let us finish the proof of the lemma. The claim implies that forcing with  $\mathbb{P}^{k+1}$  does not add new subsets of size  $\leq \aleph_k$ , so  $\mathbf{V}^{\mathbb{P}^{k+1}} \models \text{"}\mathbb{P}_k = (\mathbb{P}_k)^{\mathbf{V}}\text{"}$ . Therefore,  $\mathbb{P}^{k+1} \times \mathbb{P}_k$  and  $\mathbb{P}^{k+1} * \dot{Q}$ , where  $\dot{Q}$  is a  $\mathbb{P}^{k+1}$ -name for  $\mathbb{P}_k$  constructed in  $\mathbf{V}^{\mathbb{P}^{k+1}}$ , produce the same extension. Forcing with  $\mathbb{P}^{k+1}$  preserves the cardinals  $\leq \aleph_{k+1}$  (by the claim), and in  $\mathbf{V}^{\mathbb{P}^{k+1}}$  forcing with  $\mathbb{P}_k$  preserves the cardinals  $\geq \aleph_{k+1}$  because  $|\mathbb{P}_k| \leq \aleph_k$ . Therefore, forcing with  $\mathbb{P}^{k+1} * \dot{Q}$  preserves  $\aleph_{k+1}$ , which implies that forcing with  $\mathbb{P}_\omega = \mathbb{P}^{k+1} \times \mathbb{P}_k$  preserves  $\aleph_{k+1}$  for each  $k \geq 0$ . Thus, forcing with  $\mathbb{P}_\omega$  preserves the cardinals  $\leq \aleph_\omega$ . Since  $|\mathbb{P}_\omega| \leq \aleph_\omega$ , forcing with  $\mathbb{P}_\omega$  preserves the cardinals  $> \aleph_\omega$  as well. Now, it remains to show the claim.

*Proof of Claim ??.* Fix  $p^* \in \mathbb{P}^{k+1}$  and a sequence  $\vec{D} = \{D_i : i < \omega_k\}$  of dense open subsets of  $\mathbb{P}^{k+1}$ . We shall find  $q \leq p^*$  such that  $q \in \bigcap \{D_i : i < \omega_k\}$ . Choose  $M \in [H_\lambda]^{\aleph_k}$  such that

- $M \prec H_\lambda$ ;
- $\sup(M \cap \omega_n) \in S_n$  for each  $n > k$ ;  
(this is possible by the fact that the set (??) is stationary)
- $[M]^{<\aleph_k} \subseteq M$ ;
- $\{p^*, \mathbb{P}^{k+1}, \vec{D}\} \subseteq M$ ;
- $\omega_k \subseteq M$ .

*Case 1.*  $k = 0$

We will construct a descending sequence  $\{p_i : i < \omega\}$  such that

- $p^* \geq p_0 \geq p_1 \geq \dots$ ;
- $p_i \in M \cap D_i$  for each  $i < \omega$ ;
- $\sup[\bigcup_{i < \omega} p_i(n)] = \sup(M \cap \omega_n)$  for each  $n \geq 1$ .

Take  $p_0 \in M$  such that  $p_0 \in D_0$  and  $p_0 \leq p^*$ . Enumerate  $M = \{x_i : i < \omega\}$ . Working in  $M$ , we can find  $p_i \in D_i$  such that  $p_i \leq p_{i-1}$  and for each  $n \geq 1$   $\max p_i(n) > x_i$  if  $x_i$  is an ordinal and  $x_i \in \omega_n$ . Define  $p_\omega$  so that for each  $n \geq 1$ ,  $p_\omega(n) = [\bigcup_{i < \omega} p_i(n)] \cup \{\sup(M \cap \omega_n)\}$ . Then  $p_\omega \in \mathbb{P}^1$  and  $p_\omega \in D_i$  for each  $i < \omega$ , showing that  $\mathbb{P}^1$  is  $\omega$ -distributive.

*Case 2.*  $k > 0$ .

Enumerate  $M = \{x_i : i < \omega_k\}$ , and construct a sequence  $\{M_i \in [M]^{<\aleph_k} : i < \omega_k\}$  so that

- $M_i \prec M$  for all  $i < \omega_k$ ;
- $\{p^*, \mathbb{P}^{k+1}, \vec{D}\} \subseteq M_i$ ;
- $\{x_i : i < j\} \subseteq M_j$ ;
- $\{M_i : i < j\} \subseteq M_j$  and  $\{M_i : i \leq j\} \in M_{j+1}$ ;
- $M_j = \bigcup_{i < j} M_i$  for each limit ordinal  $j$ .

For each  $n \geq k + 1$ , we have obtained a continuous increasing sequence  $\{\sup(M_i \cap \omega_n) : i < \omega_k\}$ , which is cofinal in  $\sup(M \cap \omega_n)$ . Let  $\alpha = \sup(M \cap \omega_n)$ ; then  $cf(\alpha) = \omega_k$ , and for each  $n \geq k + 1$ ,  $f_\alpha^{-1}[S_n]$  contains a club subset of  $\omega_k$  (by the hypothesis) so  $f_\alpha[\omega_k] \cap S_n$  contains a club subset of  $\alpha$ . Therefore, by taking a subsequence, we can obtain  $\{M_i : i < \omega_k\}$  such that

- $\sup(M_i \cap \omega_n) \in S_n$  for each  $n \geq k + 1$  and  $i < \omega_k$ .

Choose a descending sequence  $\{p_i \in \mathbb{P}^{k+1} : i < \omega_k\}$  such that

- $p^* \geq p_0 \geq p_1 \geq \dots \geq p_\xi \geq \dots$ ;
- $p_i \in M_{i+1}$ ;
- $p_{i+1} \in D_i$ ;
- $\max p_i(n) \geq \sup(M_i \cap \omega_n)$  for all  $n \geq k + 1$ ;

(The last item implies that if  $j$  is a limit ordinal, then  $\sup[\bigcup_{i < j} p_i(n)] = \sup(M_j \cap \omega_n)$  for each  $n \geq k + 1$ . So we can define  $p_j$  in  $M_{j+1}$  such that)

- if  $j$  is a limit ordinal, then  $p_j(n) = [\bigcup_{i < j} p_i(n)] \cup \{\sup(M_j \cap \omega_n)\}$  for each  $n \geq k + 1$ .

Finally, define  $p_{\omega_k}$  so that

- $p_{\omega_k}(n) = [\bigcup_{i < \omega_k} p_i(n)] \cup \{\sup(M \cap \omega_n)\}$  for each  $n \geq k + 1$ .

Then  $p_{\omega_k} \leq p^*$  and  $p_{\omega_k} \in D_i$  for all  $i < \omega_k$ , showing that  $\mathbb{P}^{k+1}$  is  $\omega_k$ -distributive.  $\square$

Here is the main result of this paper:

**Theorem 4.3.** *Assume GCH. Let  $X \subseteq \omega_\omega$ . Suppose that  $\{S_n : n \geq 1\}$  is as in Lemma ?? and for each  $\alpha \in \omega_\omega \setminus X$  with  $cf(\alpha) = \omega_n$ ,  $S_n \cap f_\alpha^{-1}[X] \notin \mathcal{S}(\omega_n)$ . Then  $X$  is paracompact in some cardinal-preserving forcing extension.*

*Proof.* Let  $\mathbb{P}_\omega$  be as in Lemma ??, and we work in  $\mathbf{V}^{\mathbb{P}_\omega}$ . To show that  $X$  is paracompact, fix  $\alpha \in \omega_\omega \setminus X$  such that  $cf(\alpha) = \omega_k$  for some  $k \geq 1$ . We still have  $S_n \cap f_\alpha^{-1}[X] \notin \mathcal{S}(\omega_k)$ , and  $S_n$  contains a club subset of  $\omega_n$ , which implies that  $f_\alpha^{-1}[X] \notin \mathcal{S}(\omega_k)$ . Thus,  $X$  is paracompact by Theorem ??.  $\square$

## 5. EXAMPLE

In order to show that Theorem ?? is not an empty theorem, we will give an example of  $X$  and  $S_n$ 's as in the theorem such that  $X \setminus \omega_n$  is not paracompact for all  $n \in \omega$ . To do so, we look at two technical lemmas.

**Lemma 5.1.** *Suppose  $\kappa$  and  $\mu$  are regular cardinals such that  $\kappa < \mu$ . Let  $S$  be a stationary subset of  $\mu$  such that for every  $\alpha \in S$ ,  $cf(\alpha) = \kappa$ ; then  $S = \{x \in [\mu]^\kappa : \sup(x) \in S\}$  is a stationary subset of  $[\mu]^\kappa$ .*

*Proof.* Menas [?, Theorem 1.5] proved that for every club  $\mathcal{C} \subseteq [\mu]^\kappa$ , there exists a function  $f : \mu \times \mu \rightarrow [\mu]^{\leq \kappa}$  such that  $\mathcal{C}_f := \{x \in [\mu]^\kappa : \forall \langle \xi, \eta \rangle \in x \times x \ f(\langle \xi, \eta \rangle) \subseteq x\} \subseteq \mathcal{C}$ . (Note that  $\mathcal{C}_f$  is a club subset of  $[\mu]^\kappa$ .) It therefore suffices to show that  $\mathcal{C}_f$  meets  $\mathcal{S}$  for all such  $f$ . Assume on the contrary that for some  $f$ ,  $\mathcal{C}_f$  misses  $\mathcal{S}$ .

*Claim 5.2.* For each  $\alpha \in S$ ,  $\exists \langle \xi, \eta \rangle \in \alpha \times \alpha$  such that  $f(\langle \xi, \eta \rangle) \not\subseteq \alpha$ .

*Proof of Claim.* Looking for a contradiction, assume that for some  $\alpha^* \in S$ ,  $f(\langle \xi, \eta \rangle) \subseteq \alpha^*$  for all  $\langle \xi, \eta \rangle \in \alpha^* \times \alpha^*$ . Take an increasing sequence  $\{\alpha_\xi : \xi < \kappa\}$  cofinal in  $\alpha^*$ . We define  $A_i$  for  $i < \kappa$ . Let  $A_0 = \{\alpha_0\}$ . If  $j = i + 1$ , then let  $A_j = \bigcup \{f(\langle \xi, \eta \rangle) : \langle \xi, \eta \rangle \in A_i \times A_i\} \cup \{\alpha_j\}$ . If  $j$  is a limit ordinal, then let  $A_j = \left[ \bigcup_{i < j} A_i \right] \cup \{\alpha_j\}$ . Finally, let  $A_\delta = \bigcup_{i < \delta} A_i$ ; then  $A_\delta \in \mathcal{C}_f \cap S$ , which is a contradiction.  $\square$  (Claim ??)

For each  $\alpha \in S$ , choose  $\langle \xi_\alpha, \eta_\alpha \rangle \in \alpha \times \alpha$  such that  $f(\langle \xi_\alpha, \eta_\alpha \rangle) \not\subseteq \alpha$ . Since  $\xi_\alpha < \alpha$  and  $\eta_\alpha < \alpha$  for all  $\alpha \in S$ , by applying Fodor's Lemma twice we can find  $\xi^* \in \mu$ ,  $\eta^* \in \mu$  and a stationary set  $S' \subseteq S$  such that for all  $\alpha \in S'$ ,  $f(\langle \xi^*, \eta^* \rangle) \not\subseteq \alpha$ , which is a contradiction.  $\square$

**Lemma 5.3.** Let  $S_n$  be a fat stationary subset of  $\omega_n$ . Then for  $k < n$ ,

$$\{x \in [H_\lambda]^{\aleph_k} : \sup(x \cap \omega_n) \in S_n\}$$

is a stationary subset of  $[H_\lambda]^{\aleph_k}$ .

*Proof.* Since  $S_n$  is fat,  $\{\alpha \in S_n : cf(\alpha) = \omega_k\}$  is a stationary subset of  $\omega_n$ . By Lemma ??,  $\{x \in [\omega_n]^{\aleph_k} : \sup(x) \in S_n\}$  is a stationary subset of  $[\omega_n]^{\aleph_k}$ . Menas [?, Corollary 1.9] proved that for  $A \subseteq B$  with  $|A| > \kappa$ , if  $\mathcal{S}$  is a stationary subset of  $[A]^\kappa$ , then  $\{x \in [B]^\kappa : x \cap A \in \mathcal{S}\}$  is a stationary subset of  $[B]^\kappa$ . Apply this result to the fact that  $\omega_n \subseteq H_\lambda$ .  $\square$

*Example 5.4.* We give an example of  $X$  and  $S_n$ 's as in Theorem ?? such that  $X \setminus \omega_n$  is not paracompact for all  $n \in \omega$ .

For each  $n \geq 1$ , fix a stationary subset  $A_n \subseteq \omega_n \setminus (\omega_{n-1} + 1)$  such that  $cf(\alpha) = \omega_{n-1}$  for all  $\alpha \in A_n$ , and  $\{\alpha \in \omega_n \setminus A_n : cf(\alpha) = \omega_{n-1}\}$  is also stationary. Let

$$X = \bigcup_{n \geq 1} A_n,$$

and for each  $n \geq 1$ , set

$$S_n = \omega_n \setminus A_n.$$

For every  $n \geq 1$ ,  $X \setminus \omega_{n-1}$  is not paracompact because  $A_n \subseteq X \setminus \omega_{n-1}$  and  $A_n$  is a stationary subset of  $\omega_n$  and  $\sup(A_n) = \omega_n \notin X$ .

Let  $\alpha \in \omega_\omega \setminus X$  and suppose  $cf(\alpha) = \omega_k$  for some  $k \geq 1$ . We will show that  $f_\alpha^{-1}[X] \cap S_k \notin \mathcal{S}(\omega_k)$ . We can find  $n \geq k$  such that  $\alpha \in \omega_{n+1} \setminus \omega_n$ . If  $\alpha = \omega_n$ , then we may assume that  $f_\alpha$  is the identity on  $\omega_n$  and so  $f_\alpha^{-1}[X] \cap S_n = X \cap S_n$ , which is not in  $\mathcal{S}(\omega_n)$  because  $X \cap S_n \subseteq \omega_{n-1}$ . Next, suppose  $\alpha > \omega_n$ . It suffices to show that  $f_\alpha^{-1}[X \setminus \omega_n]$  has no limit point in itself, which implies that  $f_\alpha^{-1}[X] \notin \mathcal{S}(\omega_k)$ . Indeed, each point in  $X \setminus \omega_n (= \bigcup_{i > n} A_i)$  has cofinality  $\geq \omega_n$ . On the other hand,  $f_\alpha^{-1}[X \setminus \omega_n] \subseteq \omega_k$ .

We show  $S_n$ 's are as in Lemma ??. It is easy to see that  $S_n$  is a fat stationary subset of  $\omega_n$  [?, Lemma 1.2] and  $f_\alpha^{-1}[S_n]$  contains a club subset of  $\omega_k$  for each  $\alpha \in S_n$  with  $cf(\alpha) = \omega_k$ . Now, fix  $k \geq 0$ ; we shall show that

$$\mathcal{E}_1 = \{x \in [H_\lambda]^{\aleph_k} : (\forall n > k) \sup(x \cap \omega_n) \in S_n\}$$

is a stationary subset of  $[H_\lambda]^{\aleph_k}$ . Let

$$\mathcal{E}_2 = \{x \in [H_\lambda]^{\aleph_k} : \sup(x \cap \omega_{k+1}) \in S_{k+1}\} \cap \{x \in [H_\lambda]^{\aleph_k} : (\forall n > k) \sup(x \cap \omega_n) \notin x\}.$$

$\mathcal{E}_2$  is a stationary subset of  $[H_\lambda]^{\aleph_k}$  because the first set on the right side is stationary by Lemma ?? and the second set is a club set. To observe that  $\mathcal{E}_2 \subseteq \mathcal{E}_1$ , let  $x \in \mathcal{E}_2$  and  $n > k + 1$ ; then  $cf(\sup(x \cap \omega_n)) \leq \omega_k < \omega_{n-1}$  so  $\sup(x \cap \omega_n) \in S_n$  (because  $\{\alpha \in \omega_n : cf(\alpha) < \omega_{n-1}\} \subseteq S_n$ ).

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