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# Adverse Metabolic Response to Regular Exercise: Is It a Rare or Common Occurrence?

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## Abstract

Background: Individuals differ in the response to regular exercise. Whether there are people who experience adverse changes in cardiovascular and diabetes risk factors has never been addressed.

Methodology/Principal Findings: An adverse response is defined as an exercise-induced change that worsens a risk factor beyond measurement error and expected day-to-day variation. Sixty subjects were measured three times over a period of three weeks, and variation in resting systolic blood pressure (SBP) and in fasting plasma HDL-cholesterol (HDL-C), triglycerides (TG), and insulin (FI) was quantified. The technical error (TE) defined as the within-subject standard deviation derived from these measurements was computed. An adverse response for a given risk factor was defined as a change that was at least two TEs away from no change but in an adverse direction. Thus an adverse response was recorded if an increase reached 10 mm Hg or more for SBP, 0.42 mmol/L or more for TG, or 24 pmol/L or more for FI or if a decrease reached 0.12 mmol/L or more for HDL-C. Completers from six exercise studies were used in the present analysis: Whites  $(N = 473)$ and Blacks (N = 250) from the HERITAGE Family Study; Whites and Blacks from DREW (N = 326), from INFLAME (N = 70), and from STRRIDE (N = 303); and Whites from a University of Maryland cohort (N = 160) and from a University of Jyvaskyla study (N = 105), for a total of 1,687 men and women. Using the above definitions, 126 subjects (8.4%) had an adverse change in FI. Numbers of adverse responders reached 12.2% for SBP, 10.4% for TG, and 13.3% for HDL-C. About 7% of participants experienced adverse responses in two or more risk factors.

Conclusions/Significance: Adverse responses to regular exercise in cardiovascular and diabetes risk factors occur. Identifying the predictors of such unwarranted responses and how to prevent them will provide the foundation for personalized exercise prescription.

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### Introduction

Physical activity level and cardiorespiratory fitness are strongly and inversely associated with the risk of cardiovascular-, metabolic-, and aging-related morbidities, as well as premature mortality [1]. To alleviate the health burden associated with sedentary behavior and poor fitness, public health recommendations are that adults be physically active at a moderate intensity for 150 minutes per week or at a vigorous intensity for 75 minutes per week [2].

However, there is considerable interindividual variability in the ability to improve one's cardiorespiratory fitness and cardiometabolic and diabetes risk factor profile in response to regular exercise. This clear finding of the HERITAGE Family Study has been replicated [3,4,5,6]. A fundamental question is whether there are individuals who experience one or several adverse responses (ARs) in terms of exercise-induced changes in common risk factors. This issue is addressed herein based on data from six exercise intervention studies, with a focus on exercise-induced changes in

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Table 1. Reproducibility of Risk Factors from Measurements Repeated Over 3 Days on 60 Subjects.



ICC = intraclass correlation computed from the within-subject variance compared to the overall measurement variance.

TE = technical error defined by the within-subject standard deviation calculated from repeated measurements. It includes a combination of measurement error plus dayto-day variation.

CV = Coefficients of variation is expressed as a percentage and is derived from the technical error and the measurement mean.

\*Note on insulin: The values reported here are from the repeated measurements obtained at baseline (N = 779) and after (N = 624) the exercise program in HERITAGE (Information S1). The TE used for this report is shown in parentheses.

To convert pmol/L of insulin to mU/L, divide by 6.945. To convert mmol/L of HDL-C to mg/dL, divide by 0.02586. To convert mmol/L of triglycerides to mg/dl, divide by 0.01129.

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resting systolic blood pressure (SBP), fasting insulin (FI), HDLcholesterol (HDL-C), and triglycerides (TG). The studies used for this purpose are: HERITAGE Family Study (HERITAGE), DREW, INFLAME, STRRIDE, University of Maryland Gene Exercise Research Study (MARYLAND), and University of Jyväskylä study (JYVASKYLA).

#### Methods

Data on a maximum of 1687 adults from six studies were available for analysis. These studies will be briefly described, followed by the definition of AR and the statistical procedures employed. More information on each study is available in Information S1.

## HERITAGE (Health, Risk Factors, Exercise Training And Genetics) Family Study

The sample, study design, and exercise training protocol of HERITAGE have been described elsewhere [7]. Briefly, 473 adults from 99 families of Caucasian descent and 250 Blacks from 105 families or sibships completed the 20-week endurance training program. Parents were 65 years of age or less while offspring ranged in age from 17 to 41 years.

#### Dose Response to Exercise in Women (DREW) Study

A complete description of the DREW design and methods and details of the study participants have been published [8]. In brief, it was a randomized, dose-response exercise trial with sedentary, highnormal blood pressure, postmenopausal, overweight or obese women (N = 326: 63% White) assigned to either a nonexercise control group or to endurance exercise groups that expended 4, 8, or 12 kcal/kg of body weight per week for a period of 6 months [6].

#### Inflammation and Exercise (INFLAME) Study

Sedentary men and women between the ages of 30 and 75 years who had an elevated plasma C-reactive protein (CRP) concentration  $(\geq 2.0 \text{ mg/L}$  but  $\leq 10.0 \text{ mg/L}$  were randomized to an endurance exercise or a control group [9]. Completers (70% Whites) exercised a mean of 204 minutes per week.

## Studies of a Targeted Risk Reduction Intervention through Defined Exercise (STRRIDE)

STRRIDE (84% Whites) includes two complementary studies [10,11]. STRRIDE was composed of 40- to 65-year-old, sedentary, overweight or class 1 obese (BMI 25–35 kg/m2 ), dyslipidemic men and women. They were assigned to one of three aerobic exercise groups and exercised for 6 months. The STRRIDE aerobic training

Table 2. Descriptive Data, Including Baseline VO<sub>2</sub>max and its Response to Training, for the Six Cohorts.



Values are given as mean (SD). VO<sub>2</sub>max response = post-training VO<sub>2</sub>max minus baseline VO<sub>2</sub>max (positive value represents improvement in VO<sub>2</sub>max). All gains in VO<sub>2</sub>max are significant at  $p$  < 0.05.

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versus resistance training (AT/RT) cohort was very similar to STRRIDE, but only those who were enrolled in endurance exercise programs are included in the present report.

## University of Maryland Gene Exercise Research Study (MARYLAND)

Briefly, 160 men and women (100% Whites) ages 50 to 75 years who were sedentary, nondiabetic, and nonsmoking, with no prior history of cardiovascular disease but with one National Cholesterol Education Program lipid abnormality or blood pressure in the prehypertensive range, exercised three times per week for a period of 6 months [12].

#### University of Jyväskylä Study (JYVASKYLA)

Healthy, sedentary 40- to 67-year-old men and women were recruited [13]. A total of 206 subjects were randomized to one of four groups. Here we used the data on 25 men and 26 women of the endurance training group and on 30 men and 24 women (all Whites) of the combined endurance and strength training group who exercised for 21 weeks.

### Definition of adverse responses

For the four traits studied, some subjects experienced changes in an opposite, unfavorable direction compared to the expected beneficial effects. This is analogous to an AR pattern. Defining an AR for any given risk factor is a challenge. A robust definition takes into account the measurement error of the trait, including the variance among laboratories or laboratory technicians, and the normal day-to-day biological variation of the trait. The parameter that captures the totality of these sources of variance in a trait is known as the technical error (TE), defined as the within-subject standard deviation as derived from repeated measures (or assays) over a given period of time, as used in the National Health and Nutrition Examination Survey (NHANES) [14]. An ancillary study designed to quantify TE for several biological traits was undertaken in HERITAGE. Sixty subjects were measured three times (except for FI) over a period of 3 weeks for each trait [15,16,17,18,19]. TEs and other useful indicators of reproducibility are shown in Table 1. In the case of FI, the assays were performed only twice, and we used other HERITAGE data plus observations from the literature to develop an estimate of TE for FI (Information S1). Here, we have conservatively defined an AR as a response beyond  $2 \times TE$  in a direction indicating a worsening of the risk factor. For the four traits in the present study, twice the value of TE would mean that ARs would be reached if the exercise training-induced increases are  $\geq 10$  mm Hg for SBP,  $\geq 0.42$  mmol/L for plasma TG, and  $\geq 24$  pmol/L for plasma FI or if there is a decrease of  $\leq 0.12$  mmol/L for HDL-C. These AR definitions are used in the remainder of this report.

## Statistical procedures

Data are expressed as means and standard deviations or standard errors as specified. Intraclass correlations were computed from the within-subject variance relative to the overall measurement variance. The coefficient of variation is expressed as a percentage and is derived from the TE relative to the measurement mean. The significance of the gains in  $VO<sub>2</sub>$ max and of the mean changes in the four targeted risk factors within each cohort was assessed with paired t tests. The comparisons of  $VO_2$ max gains between adverse responders and non-adverse responders for each risk factor trait for each study was undertaken as follows: The difference between the changes in  $VO<sub>2</sub>$ max with exercise training expressed in ml  $O<sub>2</sub>$  per minute was tested with the general linear



To convert pmol/L of insulin to mU/L, divide by 6.945. To convert mmol/L of HDL-C to mg/dL, divide by 0.02586. To convert mmol/L of triglycerides to mg/dl, divide by 0.01129. by 0.02586. To convert mmol/L of divide convert pmol/L of insulin to mU/L, divide by 6.945. To convert mmol/L of HDL-C to mg/dL, To convert pmol/L of insulin to mU/L,<br>doi:10.1371/journal.pone.0037887.t003 doi:10.1371/journal.pone.0037887.t003

Table 3. Baseline and training-induced changes in the four risk factors for the five cohorts (mean

3. Baseline and training-induced changes

Table

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 $\ddot{+}$ SD).

four risk factors for the five cohorts (mean



Figure 1. Distribution of the response to the HERITAGE exercise program with adverse responders highlighted in red. To convert pmol/L of insulin to mU/L, divide by 6.945. To convert mmol/L of HDL-C to mg/dL, divide by 0.02586. To convert mmol/L of triglycerides to mg/dl, divide by 0.01129. doi:10.1371/journal.pone.0037887.g001

model and is reported as least squares (LS) means with age, sex, and baseline  $VO<sub>2</sub>max$  as covariates. The gain in  $VO<sub>2</sub>max %$  is reported as LS means with age and sex as covariates.

### Results

Subjects in DREW, INFLAME, STRRIDE, MARYLAND, and JYVASKYLA were about 20 years older than HERITAGE Whites and Blacks (Table 2). All cohorts had a mean BMI in the overweight

range (i.e.,  $>$  25.0 but < 30.0 kg/m<sup>2</sup>), with the exception of DREW and INFLAME, with mean values of about  $31 \text{ kg/m}^2$ . Mean baseline VO<sub>2</sub>max was considerably lower in DREW and INFLAME compared to the other studies. The mean increase in  $VO<sub>2</sub>max$  (ml  $O<sub>2</sub>$  per minute) ranged from 108 (DREW) to 395 (HERITAGE Whites). The percent increase of VO<sub>2</sub>max ranged from 8.7% (DREW) to 18.9% (HERITAGE Blacks).

Baseline values and the mean  $(\pm SD)$  changes of the risk factors in response to exercise programs are shown in Table 3 for each

Table 4. Prevalence of Adverse Responders in HERITAGE.



To convert pmol/L of insulin to mU/L, divide by 6.945. To convert mmol/L of HDL-C to mg/dL, divide by 0.02586. To convert mmol/L of triglycerides to mg/dl, divide by 0.01129.

doi:10.1371/journal.pone.0037887.t004



Table 5. Prevalence of Adverse Responders to Regular Exercise in Six Studies.

\*% represents the proportion of adverse responders in relation to the total number of subjects exercise trained for each of the four traits. doi:10.1371/journal.pone.0037887.t005

cohort. There was a wide range of baseline values for all risk factors. For instance, mean baseline HDL-C levels ranged from 1.04 mmol/L (HERITAGE Whites) to about 1.50 mmol/L (INFLAME and all DREW exercise groups). The mean changes induced by the exercise programs were generally in the expected direction (i.e., decreases in FI, TG, and SBP and increases in HDL-C). There were, however, some statistically nonsignificant exceptions to these general trends.

Using the definitions outlined in Table 1, the prevalence of ARs for the four risk factors was first explored in the 473 Whites and 250 Blacks of HERITAGE who were all exposed to the same standardized exercise programs and were all qualified as completers. The results are depicted in Figure 1 and are summarized in Table 4. Although HERITAGE subjects were apparently healthy and not taking medication for blood pressure, glucose, or lipid anomalies and were exposed to the same exercise

program, 6% to 9% of Blacks and Whites experienced ARs for each of the four risk factors, with no substantive differences between the two ethnic groups.

To gain a better understanding of the true prevalence of ARs for each risk factor, we compared the data obtained in HERITAGE with those of five other exercise training studies. The results are summarized in Table 5. It is quite obvious that the findings in HERITAGE are not unique to the HERITAGE subjects and exercise protocol. Based on a maximum of 1687 subjects, the prevalence of an AR reached 8.3% for the changes in FI, 13.3% for the changes in fasting HDL-C, 10.3% for TG, and 12.2% for resting SBP. The percentages of adverse responders for each trait for each study are depicted in Figure 2. It is remarkable that such cases were found in each study, even though the age and health status of the subjects were widely divergent and the exercise programs were quite heterogeneous.



Figure 2. Percentages of adverse responders for each risk factor trait by study, with number of adverse responder subjects in each bar. doi:10.1371/journal.pone.0037887.g002

Table 6. Comparison of the VO2max response to regular exercise between adverse responders and non-adverse responders for each response trait in each study.



Data expressed as means and standard deviations.

D VO2max expressed as the change with exercise training in ml O2 per minute, reported as LS means with age, sex, and baseline VO2max as covariates. D VO2max % reported as LS means with age and sex as covariates.

 $^\dagger$ p $\leq$ 0.05 indicates significant difference in VO2max training response between adverse responders and non-adverse responders.

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One important question to consider is whether those who respond adversely for a given risk factor are also those who experience the least improvement in cardiorespiratory fitness with regular exercise. This question was addressed by comparing the gains in VO2max between the subgroups of adverse responders and non-adverse responders for a given risk factor. The results of these analyses are shown in Table 6 for the gains in ml  $O_2$  per minute and the percentage increases in  $VO<sub>2</sub>$ max. A total of 56 differences were tested with age, sex, and baseline  $VO<sub>2</sub>max$  as covariates for the gain in ml  $O<sub>2</sub>$  per minute and age and sex for the percentage increase. Only two such differences reached the 0.05 level of significance, and they were far from reaching a multiple test Bonferroni adjusted P value of 0.0009. These data indicate that AR traits are independent of the improvement in cardiorespiratory fitness.

One could hypothesize that the proportion of ARs should decrease as the amount of exercise increases. We tested this hypothesis with the Table 7. Adverse and Excellent Responders to Regular Exercise in DREW\*.



\*A postmenopausal woman who follows the 2008 Physical Activity Guidelines for Americans expends about 8 kcal/kg/week in her exercise program. The 4 kcal/kg/week is about 50% the current recommendation whereas the 12 kcal/kg/week is about 50% above the recommended dose

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data of DREW, and the results are summarized in Table 7. No substantive differences were observed in the prevalence of ARs among the three levels of exercise energy expenditure, which ranged from 4 to 12 kcal/kg of body weight per week.

Another important question is that of the proportion of subjects who experienced ARs for more than one risk factor. We tabulated the number of participants in the six studies who registered ARs for two or more risk factors, and the results are shown in Table 8. Approximately 7% of sedentary adults experienced ARs for at least two common cardiometabolic and diabetes risk factors following exposure to regular exercise. Only a small minority of participants  $\left($ <1%) exhibited ARs for three or more traits.

## Discussion

The prevalence of ARs for select risk factors varied from 8.3% for the exercise training-induced changes in FI to 13.3% for the changes in HDL-C, with about 7% of participants experiencing adverse changes in two or more risk factors. This subgroup should receive urgent attention. The prevalence of ARs appears to be similar at low and high doses of exercise. However, we do not know whether some adverse responders would revert to a more positive response pattern if exposed to different exercise doses or exercise modalities.

It is important to differentiate between ARs for risk factors for common chronic diseases, as referred to in the present study, from other more acute ARs such as cardiac events related to exertion during an exercise bout [20,21,22], sudden cardiac death during or immediately after exercise typically associated with a cardiomyopathy or a congenital abnormality [23], or even exercise intolerance due to abnormal skeletal muscle energy metabolism [24]. These events are fortunately rare among physically active people. In contrast, ARs as defined herein for common cardiometabolic and diabetes risk factors are much more prevalent and become evident with exposure to regular exercise. It is not known whether such ARs can be detected after a single or a few bouts of exercise.

Even though the presence of ARs was first detected among completers in Blacks and Whites of the HERITAGE Family Study, in which subjects were confirmed to be sedentary at baseline, with a rather healthy profile, the phenomenon was confirmed in five other exercise intervention studies. The consistency in the prevalence of ARs across heterogeneous studies in terms of health status of subjects at baseline and of exercise training regimen is notable.

One question that may arise is whether ARs are the result of unwarranted exercise-drug interaction effects. The question cannot be answered with direct experimental data at the moment, but based on our analysis of the results of the six studies, it is highly unlikely that it is the case. For instance, HERITAGE and JYVASKYLA subjects were healthy adults taking no medication for high blood pressure, hypercholesterolemia, or hyperglycemia. However, many subjects in DREW, INFLAME, MARYLAND, and STRRIDE were taking medications for high blood pressure,





The four traits considered were the exercise training-induced changes in fasting insulin, HDL-cholesterol, triglycerides, and resting systolic blood pressure. doi:10.1371/journal.pone.0037887.t008

hyperglycemia, or dyslipoproteinemia. Yet substantial numbers of subjects with or without medication in these cohorts experienced one or more ARs.

The challenge is now to investigate whether baseline predictors of ARs can be identified to screen individuals at risk so that they can be offered alternative approaches to modifying cardiometabolic risk factors. Research based on HERITAGE has amply demonstrated that the response pattern to exercise training aggregates in families [25,26,27,28]. In fact, the heritability of the changes induced by the exercise program reached about 30% for plasma HDL-C and TG [26] and about 20% to 25% for indicators of insulin metabolism and resting SBP [29,30]. There are strong indications from a baseline skeletal muscle gene expression profile and from a genome-wide association study performed on the Whites of HERITAGE that the genetic component of a response trait can be defined in terms of RNA abundance observed in the sedentary state or by specific genomic variants [31,32,33]. This suggests that it may be possible with further research to identify molecular predictors of the inability to benefit from regular exercise and of adverse changes in specific cardiometabolic and diabetes risk factors.

In summary, we did not find any evidence for differences in the prevalence of ARs between Blacks and Whites or between men and women. Moreover, the AR traits are not explained by prior health status of subjects, age, amount of exercise imposed by the program, or lack of improvement in cardiorespiratory fitness. No evidence could be found for the hypothesis that ARs were the result of drug-exercise interactions. Thus, some individuals experience ARs when exposed to regular exercise, but the causes of the phenomenon are unknown at this time. The observations

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reported herein need to be extended to other cardiometabolic and diabetes risk factors such as LDL-cholesterol, small, dense LDL particles, markers of low-grade inflammation, adiposity traits, and ectopic fat depots. We conclude that it is critical to search for potential physiological and molecular predictors so that individuals at risk for adverse response patterns can be identified and offered proper guidance in an exercise medicine preventive or therapeutic context.

#### Supporting Information

Information S1 Detailed description of the six studies and the background material used to determine the technical error for fasting insulin. (DOCX)

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#### Author Contributions

Conceived and designed the experiments: CB TR TSC. Performed the experiments: CB TR. Analyzed the data: CB TR. Contributed reagents/ materials/analysis tools: CB SNB TSC CPE JMH KH NTJ LK WEK DCR ASL JSS CAS TR. Wrote the paper: CB. Reviewed and contributed to the final version of the manuscript: CB SNB TSC CPE JMH KH NTJ LK WEK ASL DCR MAS JSS CAS TR.

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